# JC02 Rec'd PCT/PT

# **10**/512075

### 261302US90PCT TENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Takavuki NAKACHI, et al

10/512.075

GAU:

EXAMINER:

SERIAL NO: FILED: FOR:

November 5, 2004

ENCODING METHOD, DECODING METHOD, ENCODING APPARATUS AND DECODING APARATUS

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS

ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

#### DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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SHEET 1 OF 1

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TRADEMIN				APPLICANT 15.512,575				
LIST OF	REFER	RENCES CITED BY APPI	LICANT	Takayuki NAKACHI, et al.				
				FILING DATE		GROUP		
				November 5, 2004				
				U.S. PATENT DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
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/ND/	AW	2001 VAIDYANATHAN, P.P. "Multirate Systems and Filter Banks", Prentice Hall, pages 145-148, 816-848 1993						
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/ND/	AY	AKUTSU, Yukie et al. "A Evaluation Measure of Resolution Conversions with Orthogonal Transform, Filter Bank or Wavelet Transform", Technical Report of IEICE, DSP93-26, pages 17-24, (with English abstract & partial English translation) 1993						
/ND/	AZ	ISO/IEC 13818-2 Generic Coding of Moving Pictures and Associated Audio,					erences sheet(s) attached	
Examiner		/Nhon Diep/ Date Considered 03/17/2009						
		reference is considered, w	hether or no	ot citation is in conformance with MPEP 6	09; Draw I	ine through	citation if not in	
II conformance	and no	ot considered. Include con	OV OT UNIS FOR	n with next communication to applicant.				

U.S. PCT Application Serial No.: 10/512,075 Docket No.: 261302US90PCT

#### STATEMENT OF RELEVANCY

References have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
References have been cited in the corresponding Search Report. A copy of these references is being submitted herewith.
3) References <u>AT-AY</u> are discussed in the specification. A copy of these references is being submitted here with.
4) Reference <u>AZ</u> is additional prior art known to Applicant. A copy of this reference is being submitted herewith.
AT - Edited by Institute of Image Information and Television Engineers, "MPEG", Ohmsha, pages 69-132, 1996: Includes general descriptions on MPEG-2 coding.
AX - ONO, F. et al. "Basic Technologies on International Image Coding Standards", CORONA Publishing, pages 71-97, 1998: Includes general descriptions on DFT, DST, DCT, subband encoding, and Wavelet encoding.

AZ - ISO/IEC 13818-2 Generic Coding of Moving Pictures and Associated Audio, pages i-xii, 1993:

Excerpts from MPEG-2 standards.